

## KWA RISK MANAGEMENT TABLE

*Risk rating is assessed on the basis of historical occurrences, without considering the effects of current controls. This is because full implementation of current controls has in many cases not yet been fully implemented, and is in any case, relatively recent.*

*Risk Management Actions marked in Blue are yet to be implemented*

*Risk Ratings of Moderate or High levels require attention.*

*Any Residual Risk Rating of Moderate or High levels needs especial attention*

Low   Moderate   High   Extreme

RISK	LIKELIHOOD	CONSEQUENCE	RISK RATING	RISK MANAGEMENT ACTIONS	RESIDUAL RISK RATING
1 (a) (i)(1)	Low	Moderate	Low	Accounts audited Audited accounts to AGM <u>Remind Members of duties – and formalise</u> Strong Board oversight	Low
1 (a) (i) (2) (a)	Low	Moderate	Low	Awareness of Constitution Good communication between Board and Members	Low
1 (a) (i) (2) (b)	Moderate	Moderate	Moderate	<u>Ensure Members’ representatives are aware of Constitution provisions</u> Strong Board oversight <u>KWA AGM standing item</u>	Low
1 (a) (i) (2) (c)	High	Moderate	Moderate	Debrief current key people List all duties of current key people	Low

				Formalisation of duties	
1 (a) (i) (3)(a)	Low	Moderate	Low	Maintain strong financial and administrative controls KWA AGM oversight Board oversight	Low
1 (a) (i) (3) ( b)	Moderate	Moderate	Moderate	Strong Board oversight Formation of dedicated Committee reporting to Board NEC strengthened Partner involvement	Low
1 (a) (i) (4)	Low	Low	Low	Maintain strong NEC	Low
1 (a) (i) (5)	Low	Low	Low	Need oversight mechanism post current personnel	Low
1 (a) (ii)	Moderate	Moderate	Moderate	Awareness of potential problems Good communication with IWUF and OWUF	Low
1 (a) (iii)	Moderate	High	High	Establish good relationship with (new) Chinese Embassy personnel	Moderate
1 (b) (i)	Moderate	Low	Low	Strong responses to crises (eg Covid-19). Maintain and improve awareness of the industry.	Low
1 (b) (ii)	Low	Low	Low	Strong consultation between Members of KWA and their members. Elections of Member Committees conducted in accordance with Rules KWA oversight	Low
1 (b) (iii)	Low	Low	Low	Controls as per 1 (a) (ii)	Low
1 (c) (i) (1)	Moderate	Moderate	Moderate	Maintain good communication with ASC	Low

				Legal opinions about ASC directions	
1 (c) (i) (2)	Low	Moderate	Low	Maintain good communication with ASC – noting <a href="#">Web interface capacity</a> Update required policies as requested	Low
1 (c) (i) (3)	Low	Moderate	Low	Maintain good communication with ASC <a href="#">Separate roles of KWA liaison and other KWA Officers</a>	Low
1 (c) (ii)	Low	High	High	Maintain awareness of potential competitor organisations. Prevent cross-membership Maintain good relations with ASC	Low
1 (c) (iii) (1)	Low	Moderate	Low	<a href="#">Actively recruit new clubs</a> <a href="#">Target full contact organisations re Sanda</a> <a href="#">State and Territory Members to have and use recruitment lists</a> Promote advantages of membership	Low
1 (c) (iii) (2)	Moderate	Moderate	Moderate	<a href="#">Roll-out of Covid-19 Facebook strategy</a> <a href="#">Promotion of social media-led recruitment to member clubs</a> <a href="#">Recognition of future changes in best practice recruitment strategies</a>	Low
1 (c) (iii) (3)	Moderate	Low	Low	Actively promote best practice among member clubs, though NCAS and NOAS accreditation.	Low

				Members to maintain and promote best practice by observing their own Rules and KWA policies. Recognise and respond to all complaints, formal and informal. Maintain Complaints Management functions, including through PPP	
1 (d) (i)	Low	High	Moderate	Refer to 1(c)	Low
1 (d) (ii)	Moderate	Moderate	Moderate	Member Committee oversight KWA oversight National Grant Committee established Enforced Governance training	Low
1 (d) (iii)	Moderate	High	High	Committees familiar with Rules Committee members understand expectations List of duties for all Committee members Enforced Governance training KWA oversight through AGM KWA oversight – general Compliance with KWA Policies Appropriate remedial action when failures identified KWA Policies updated and promulgated when systemic failures recognised.	Moderate
1 (d) (iv)	Low	High	Moderate	Understanding changing Government policies	Low

				Good communication between Committees and relevant Government agencies Assistance from KWA <a href="#">Enforced Governance training</a>	
1 (d) (v)	High	Moderate	<b>High</b>	<a href="#">Committee members aware of responsibilities.</a> <a href="#">Enforced Governance training</a> <a href="#">KWA assistance</a>	<b>Moderate</b>
1 (d) (vi)	Low	Moderate	<b>Low</b>	Good communications between Committees and Government agencies	<b>Low</b>
1 (d) (vii) (1)	Moderate	Moderate	<b>Moderate</b>	As 1 (d) (iii) Noting importance of <a href="#">improved KWA oversight</a> , including KWA AGM <a href="#">Enforced Governance training</a>	<b>Moderate</b>
1 (d) (vii) (2)	Moderate	Moderate	<b>Moderate</b>	<a href="#">Reports to KWA AGM</a> Oversight by KWA auditor Adherence to KWA policies Adherence to Member Association Rules – through Association AGMs <a href="#">Enforced Governance training</a>	<b>Low</b>
1 (d) (vii) (3)	Moderate	Moderate	<b>Moderate</b>	Understanding of Rules, and <a href="#">obligations of Committee members.</a> KWA oversight <a href="#">Enforced Governance training</a>	<b>Low</b>
1 (d) (vii) (4)	Moderate	Moderate	<b>Moderate</b>	KWA oversight, though KWA AGM. <a href="#">Enforced Governance training</a>	<b>Low</b>

				Forced changes by KWA	
2 (a) (i)	Low	High	Moderate	Strong NEC oversight Multiple layers of oversight Selection of appropriate people to key national positions	Low
2 (a) (ii)	Moderate	Moderate	Moderate	Strong KWA response Strong NEC oversight Multiple layers of oversight Strong State/Territory Committees Clear duty statements for all KWA officials, at State/Territory and national levels	Low
2 (a) (iii)	Low	Moderate	Low	Strong NEC oversight	Low
2 (a) (iv)	High	Moderate	High	Encouraging more competitions Consider requiring proof of Covid-19 vaccination for 2021 competitions.	Moderate
2(b) (i)	Low	High	Moderate	Encourage IWUF interactions with Olympics and Commonwealth Games organisers	Moderate
2 (b) (ii)	Low	High	Moderate	Encourage IWUF interactions with Olympics and Commonwealth Games organisers	Moderate
3 (a)	Moderate	Low	Low	Decentralise links with other sports	Low
4 (a)	High	Low	Moderate	Continue promotion of ACC NCAS	Low

				Maintain communication with ASC Consider promotion of legal situation	
4 (b)	Low	High	Moderate	Open governance arrangements	Low
4 (c)	Low	Moderate	Low	Continued publicising of advantages of accreditation. Associate with Covid-19 Safe App.	Low
4 (d)	Low	Moderate	Low	Ensure accreditation opportunities despite Covid-19 restrictions. Consider flexible options for accreditation course delivery.	Low
4 (e)	Low	High	Moderate	Maintain quality of accreditation process, including on-line elements.	Low
4 (f)	Low	Moderate	Low	Maintain and publicise quality of KWA (MAIA) insurance. Ensure KWA approved insurance mandated for accreditation.	Low
5 (a) (i)	Moderate	Moderate	Moderate	Promote safety aspects of training, including role in increasing personal safety. Targeted Facebook campaign	Moderate
5 (a) (ii)	Low	Low	Low	Promote safety of competition with promotion of competitions Develop Sanda safety promotion strategy – consider separating Sanda from other Chinese martial arts.	Low
5 (a) (iii)	Moderate	Moderate	Moderate	Promote Covid-19 Safe App	Low

5 (a) (iv)	Low	Moderate	Low	Differentiate accredited Chinese martial arts from any incidents. Ensure KWA accredited coaches adopt appropriate approaches to instruction.	Low
5 (b) (i)	Moderate	Moderate	Moderate	Work with MAIA to ensure good coverage and minimise incidents. Explain any increases to members (of Members)	Moderate
5 (b) (ii) (1)	Low	High	Moderate	All Member Associations aware of any concerns relating to member (and non-member) behaviour. Maintain and publicise PPPs and Complaints Managers	Low
5 (b) (ii) (2)	Low	High	Moderate	All Member Associations aware of any concerns relating to member (and non-member) behaviour. Maintain and publicise PPPs and Complaints Managers Maintain awareness of correct coaching methods through NCAS	Low